



Toolkit to foster Open Access agreements

By the Association
of Learned and
Professional Society
Publishers (ALPSP)
& cOAlition S

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Society Publishers
Accelerating *Open Access* and *Plan S* (SPA OPS)

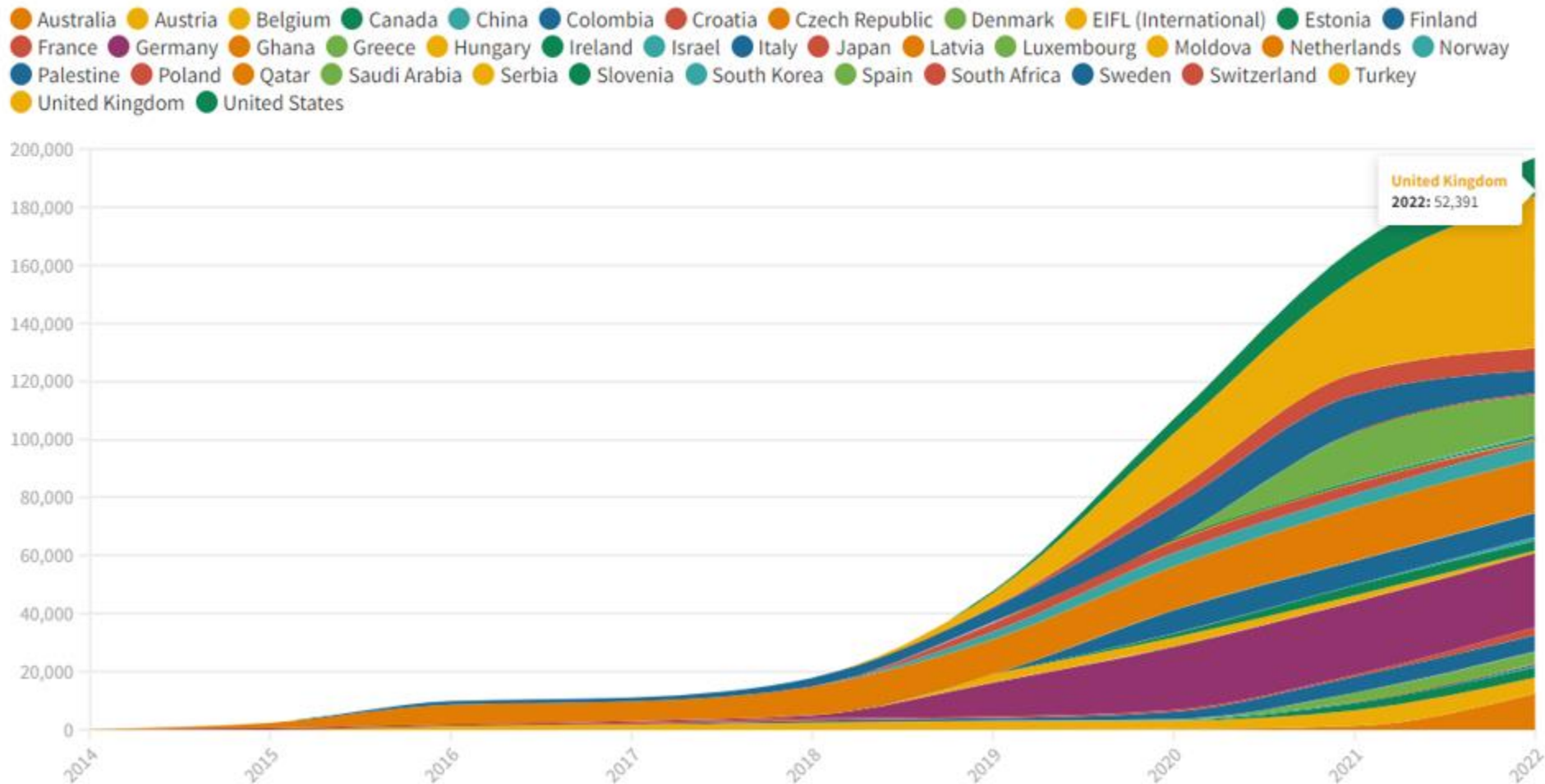
Final Project Report

Alicia Wise and Lorraine Estelle,
Information Power Ltd



<https://www.informationpower.co.uk/spa-ops-project/>

Impact of TAs in enabling open access



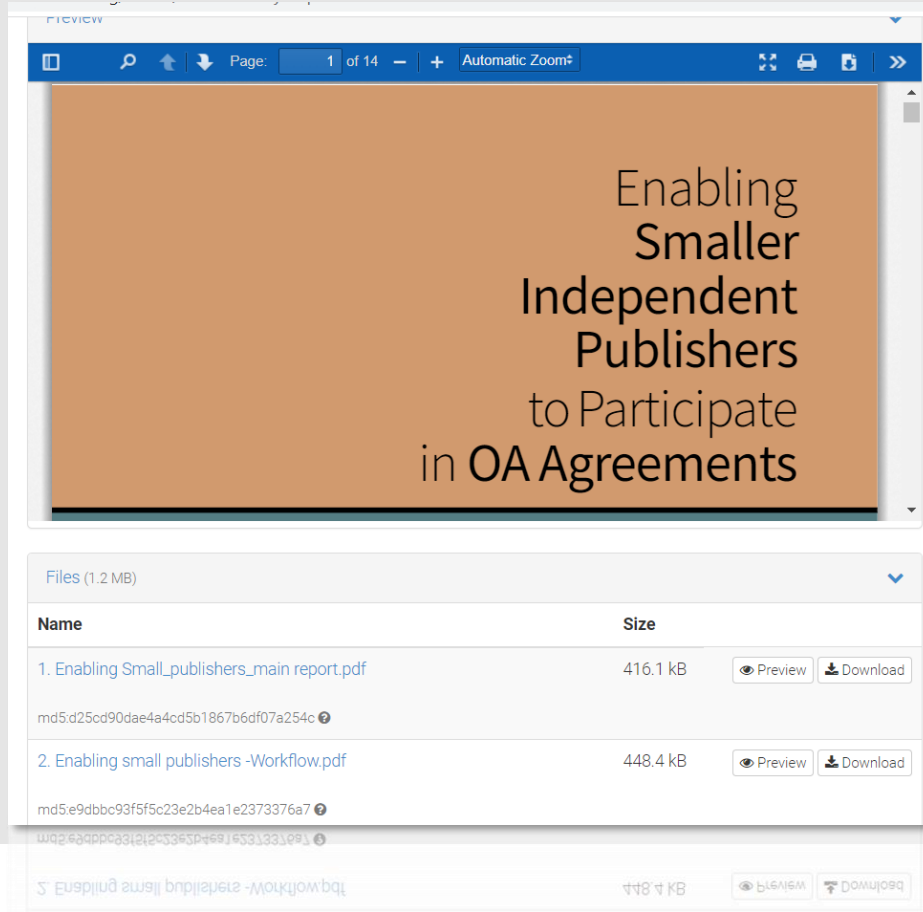
Articles per year

<https://esac-initiative.org/market-watch/>

Source: [ESAC Transformative Agreement Registry](#)
Last updated: 20-05-2022 A Flourish chart



The Toolkit



- Principles for open access agreements
- Data Template
- Example Licenses
- Workflow

Download from zenodo.org
<https://tinyurl.com/2x6rnutx>

PRINCIPLES FOR OPEN ACCESS AGREEMENTS WITH SMALLER INDEPENDENT PUBLISHERS

The principles are designed primarily with journals in mind and:

- Are for those publishers, libraries, and consortia that want to move forward more quickly together by starting with a shared understanding of what they are setting out to achieve and how they will work together.
- Remove the need for authors to pay Article Processing Charges or other transactional charges for their open access publishing.
- Can underpin a range of transitional arrangements including for example Read and

Data Template

Subscription spend		Total articles authored by affiliated corresponding authors		OA articles authored by affiliated corresponding authors				Total Spend (Subscription + OA)		Currency (e.g. €, \$, £)			
2021	2022	2021	2022	2021 OA article count	2021 OA spend	2022 OA article count	2022 OA spend	2021	2022	2021	2022		
Article DOI	Institution Name	Journal Title	Article Title	Relevant corresponding author full name	Relevant corresponding author official institutional email address	Relevant corresponding author ORCID <small>[DESIRABLE, and required if an official institutional email address is not available]</small>	Article Type [DESIRABLE] (e.g. research, editorial)	Is article OA? y/n	OA License	Article APC fee charged to institution (excl. tax)	Currency (e.g. €, \$, £)	Funder ID [DESIRABLE]	Funder name [if no Funder ID is available]
List below the name of each journal title included in offer			Standard identifier (i.e. ISSN, ISSN-e, ISSN-I, or journal title DOI)				Business Model	Does this title give our authors the ability to use a CC-BY license on articles?			Comments		

Example Agreements

Created February 2022

THIS AGREEMENT is made day of 20__

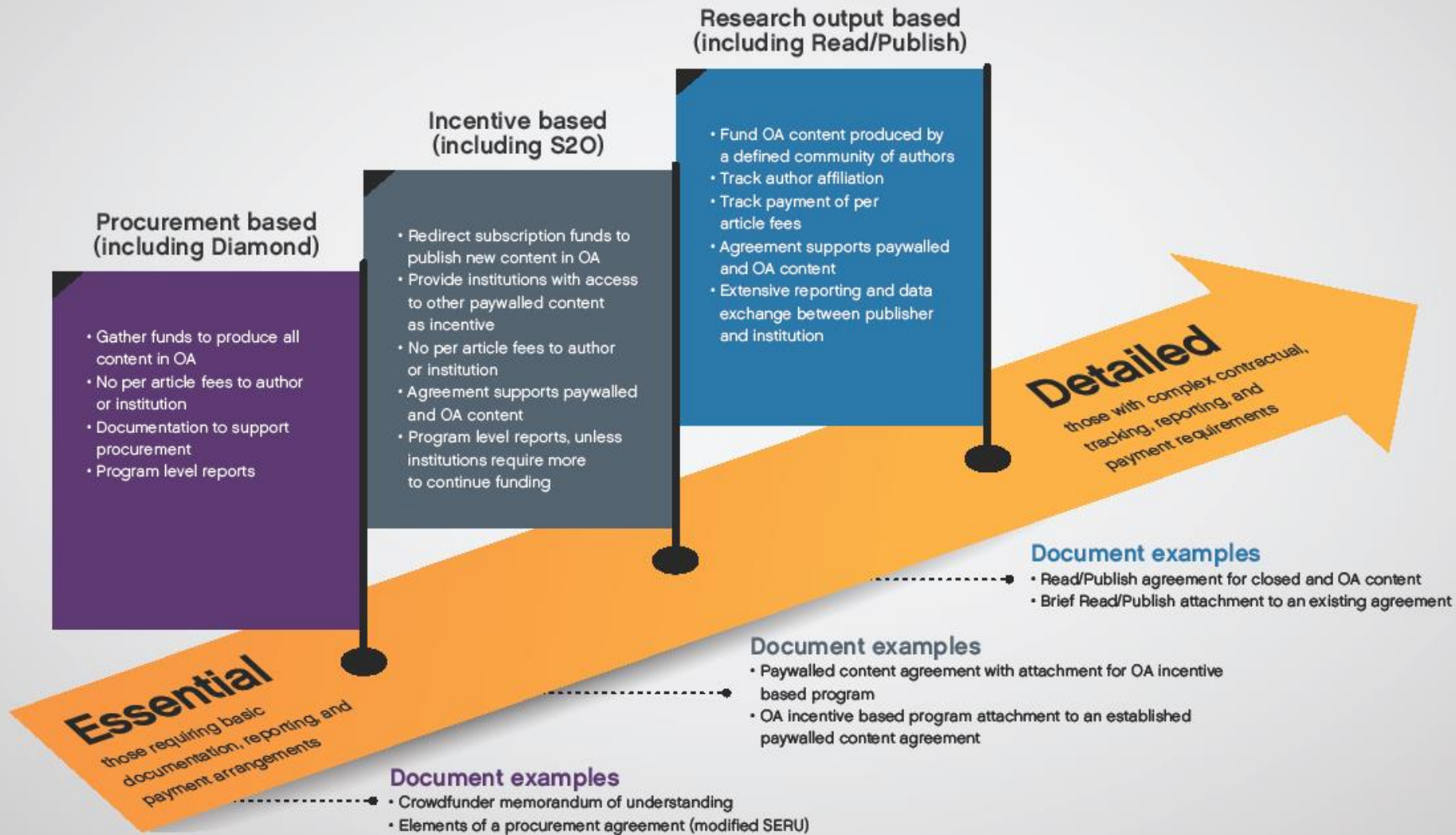
BETWEEN: **[FULL LEGAL NAME OF PUBLISHER]**, a company whose registered office is at **[insert address]** ("Publisher")

AND **[FULL LEGAL NAME OF INSTITUTION]**, a company whose registered office is at **[insert address]** ("Institution")

BACKGROUND

- A. **[insert brief product description]** ("**[insert product name]**").
- B. **[insert product name]** and all intellectual property rights therein are owned by or duly licensed to the Publisher.
- C. This License is based on the Jisc model license for journals.
- D. The Publisher has agreed with **[insert name of consortium]** in the **[insert product name]** Agreement between **[insert name of consortium]** and the Publisher to use this License

A Continuum of Open Access Journal Business Models and Supporting Documentation





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SPA OPS 3.0 Toolkit to Enable OA Agreements