

Toolkit to foster Open Access agreements

By the Association of Learned and Professional Society Publishers (ALPSP) & cOAlition S

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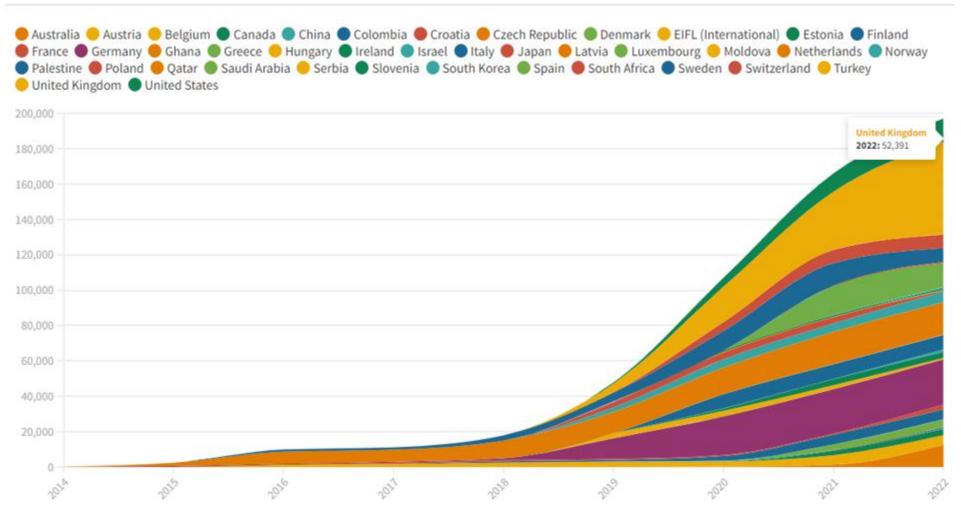
Final Project Report

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https://www.informationpower.co.uk/spa-ops-project/

Impact of TAs in enabling open access

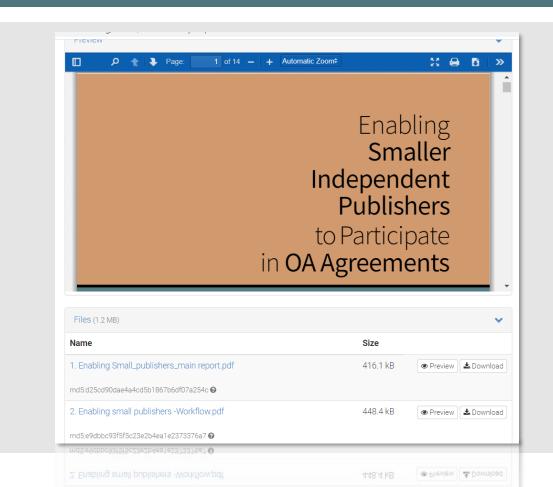


Articles per year

Source: ESAC Transformative Agreement Registry
Last updated: 20-05-2022 A Flourish chart

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The Toolkit



- Principles for open access agreements
- Data Template
- Example Licenses
- Workflow

Download from zenodo.org https://tinyurl.com/2x6rnutx

PRINCIPLES FOR OPEN ACCESS AGREEMENTS WITH SMALLER INDEPENDENT PUBLISHERS

The principles are designed primarily with journals in mind and:

- Are for those publishers, libraries, and consortia that want to move forward more quickly together by starting with a shared understanding of what they are setting out to achieve and how they will work together.
- Remove the need for authors to pay Article Processing Charges or other transactional charges for their open access publishing.
- Can underpin a range of transitional arrangements including for example Read and

Data Template

Subscription spend		Total articles authored by affiliated corresponding authors			OA articles authored by affiliated corresponding authors						Total Spend (Subscription + OA)			Currency (e.g. €, \$, £)			
2021	2022	2021		2022	2021 OA article count		2021 OA spend		DA e it	2022 OA spend		2021		2022	2021		2022
Article DOI	Institution Name	Journal Title	Article Title	Relevant corresponding author full name	Relevant corresponding author official institutional email address	Relevant corresponding author ORCID [DESIRABLE, and required if an official institutional email address is not available]	[0	rticle Type DESIRABLE] (e.g. research, editorial)		icle OA? //n	OA Lic	ense	Article AP fee charge to instituti (excld. ta:	ed (e.g. €, \$, on			Funder name [if no Funder ID is available]
	w the name o			Standard identifier (i.e. ISSN, ISSN-e, ISSN-I, or journal title DOI)								tle give our authors the ability -BY license on articles?			bility	Comments	

Example Agreements

Created February 2022

THIS AGREEMENT is made

day of

20__

BETWEEN: [FULL LEGAL NAME OF PUBLISHER], a company whose registered

office is at [insert address] ("Publisher")

AND [FULL LEGAL NAME OF INSTITUTION], a company whose registered

office is at [insert address] ("Institution")

BACKGROUND

- A. [Insert brief product description] ("[Insert product name]").
- B. [Insert product name] and all intellectual property rights therein are owned by or duly licensed to the Publisher.
- C. This License is based on the Jisc model license for journals.
- D. The Publisher has agreed with **[insert name of consortium]** in the **[insert product name]**Agreement between **[insert name of consortium]** and the Publisher to use this License

A Continuum of Open Access Journal Business Models and Supporting Documentation

Research output based (including Read/Publish)

Procurement based (including Diamond)

- Gather funds to produce all content in OA
- No per article fees to author or institution
- Documentation to support procurement
- · Program level reports

Incentive based (including S20)

- Redirect subscription funds to publish new content in OA
- Provide institutions with access to other paywalled content as incentive
- No per article fees to author or institution
- Agreement supports paywalled and OA content
- Program level reports, unless institutions require more to continue funding

- Fund OA content produced by a defined community of authors
- Track author affiliation
- Track payment of per article fees
- Agreement supports paywalled and OA content
- Extensive reporting and data exchange between publisher and institution

Detailed Tracking reporting, and Tracking reporting, and

Document examples

- Read/Publish agreement for closed and OA content
- · Brief Read/Publish attachment to an existing agreement

Document examples

- Paywalled content agreement with attachment for OA incentive based program
- OA incentive based program attachment to an established paywalled content agreement

Essential Those requiring bests Those requiring bests Those requiring and Those requiring and Those requiring and Those requiring an arrangements The second arrangements The second arrangements

Document examples

- · Crowdfunder memorandum of understanding
- Elements of a procurement agreement (modified SERU)



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SPA OPS 3.0 Toolkit to Enable OA Agreements